IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Ronald Levy; Dennis Panicali

Serial No.:

TBA

GROUP:

TBA

Filed:

Herewith

EXAMINER: TBA

For:

TRANSDUCED NEOPLASTIC CELL PREPARATIONS ABLE TO EXPRESS T-CELL PREPARATIONS ABLE TO EXPRESS T-CELL CONSTIMULATORY MOLECULES B7-1, ICAM-1, AND LFA-3 AND INDUCE IMMUNOSTIMULATORY PROPHYLACTIC AND

THERAPEUTIC ANTI-TUMOR EFFECTS IN-VIVO

CERTIFICATE OF MAILING (37 C.F.R. SECTION 1.10)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as Express Mail Post Office to Addressee, No. EL 934998090 US, in an envelope addressed to the Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

September 11, 2003

Date

Karen L. Corey

(type or print name of person mailing paper)

Signature of person malling paper

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

APPLICATION DATA SHEET 37 C.F.R. § 1.76

BIBLIOGRAPHIC DATA

1. Applicant information

First applicant:

Ronald Levy

Country of Citizenship:

US

Residence:

Stanford, California

Second applicant:

Dennis Panicali

Country of Citizenship:

Residence:

Acton, Massachusetts

Third applicant:

Country of Citizenship:

Residence:

2. Correspondence information

Correspondence for this application should be addressed as follows:

Applicants: Ronald Levy et al.

Serial No.: TBA GROUP: TBA
Filed: Herewith EXAMINER: TBA
For: TRANSDUCED NEOPLASTIC CELL PREPARATIONS ARLE TO EXPRESS T. CELL

TRANSDUCED NEOPLASTIC CELL PREPARATIONS ABLE TO EXPRESS T-CELL PREPARATIONS ABLE TO EXPRESS T-CELL CONSTIMULATORY MOLECULES B7-1, ICAM-1, AND LFA-3 AND INDUCE IMMUNOSTIMULATORY PROPHYLACTIC AND

THERAPEUTIC ANTI-TUMOR EFFECTS IN-VIVO

Ronald I. Eisenstein

Nixon Peabody LLP 101 Federal Street Boston, MA 02110

US

Customer No.: 26248

3. Application information

Title of Invention: TRANSDUCED NEOPLASTIC CELL PREPARATIONS ABLE TO

EXPRESS T-CELL PREPARATIONS ABLE TO EXPRESS

T-CELL CONSTIMULATORY MOLECULES B7-1,

ICAM-1, AND LFA-3 AND INDUCE IMMUNOSTIMULATORY PROPHYLACTIC AND THERAPEUTIC ANTI-TUMOR EFFECTS

IN-VIVO

Docket number assigned to this application: 700953-54440

Suggested Classification: Class:

Subclass:

Technology Center to which subject matter is assigned:

Total number of drawing sheets: 8

Type of application: Utility

Application is to be published. Suggested drawing figure for publication:

Secrecy order under § 5.2: N/A

This application <u>is not</u> subject matter of an application which is under a secrecy order pursuant to § 5.2.

4. Representative information

The following have a power of attorney or authorization of agent in this application:

Ronald I. Eisenstein (Reg. No. 30,628) David S. Resnick (Reg. No. 34,235) Michael L. Goldman (Reg. No. 30,727) Nicole L.M. Valtz (Reg. No. 47,150) Georgia Evans (Reg. No. 44,957) Joseph Noto (Reg. No. 32,163) Gunnar G. Leinberg (Reg. No. 35,584) Edwin V. Merkel (Reg. No. 40,087)

Nixon Peabody LLP 101 Federal Street Boston, MA 02110

US

Customer No.: 26248

5. Domestic Priority information

Domestic priority for this application is claimed as follows:

Applicants:

Ronald Levy et al.

Serial No.: Filed:

For:

TBA Herewith

EXAMINER: TBA TRANSDUCED NEOPLASTIC CELL PREPARATIONS ABLE TO EXPRESS T-CELL

PREPARATIONS ABLE TO EXPRESS T-CELL CONSTIMULATORY MOLECULES B7-1, ICAM-1, AND LFA-3 AND INDUCE IMMUNOSTIMULATORY PROPHYLACTIC AND

THERAPEUTIC ANTI-TUMOR EFFECTS IN-VIVO

Under 35 U.S.C. § 119(e) of

Application No.: 60/410,161 Filed: September 12, 2002

Status:

Relationship: Provisional Application

6. Foreign Priority Information

Foreign priority is claimed for this application as follows: None

Country:

Application No.:

Filing Date:

Status:

Foreign application having a filing date before that of the above application for which priority is claimed.

Country:

Application No.:

Filing Date:

Status:

7. Assignee information

The assignee(s) of this application is/are:

Ronald Levy; Dennis Panicali

Assigned to:

THERION BIOLOGICS CORPORATION and

76 Rogers Street

The Board of Trustees of the Leland Stanford Junior

GROUP:

TBA

University

Cambridge, MA 02142 900 Welsh Road, Suite 350 Palo Alto, CA 94304

Extent of interest of assignee in application: entire

Date: September 11, 2003

Bavid S. Resnick Reg. No. 34,235

Nixon Peabody LLP 101 Federal Strteet

Boston, MA 02110

US

Tel. (617) 345.6057 Customer No. 26248